

## A NEW METHODOLOGY FOR VULNERABILITY ASSESSMENT OF SLENDER MASONRY STRUCTURES

Manjip SHAKYA<sup>1</sup>, Humberto VARUM<sup>2</sup>, Romeu VICENTE<sup>3</sup> and Aníbal COSTA<sup>4</sup>

## ABSTRACT

Slender masonry structures such as towers, minarets, chimneys and Pagoda temples can be characterized by their distinguished architectural characteristics, age of construction and original function, but their comparable geometric and structural ratios yield to the definition of an autonomous structural type. These structures are distributed all over the world and constitute a part of the architectural and cultural heritage. Their protection against earthquakes is of great importance. This concern arises from the strong damage or complete loss suffered by these structures during past earthquake. Seismic vulnerability assessment is an issue of most importance at present time and is a concept widely used in works related to the protection of buildings. Seismic vulnerability represents the amount of damage that could be present in a building as a consequence of the occurrence of an earthquake of certain intensity. However, there is few research work carried out on developing the seismic vulnerability assessment tools for such structures.

This paper presents a simplified method for assessing the seismic vulnerability of slender masonry structures based on vulnerability index evaluation method. The calculated vulnerability index can then be used to estimate structural damage after a specified intensity of a seismic event. Here, 12 parameters (qualitative and quantitative) are defined to evaluate the vulnerability index for slender masonry structures. Nonlinear parametric analysis is carried out to calibrate most of the quantitative parameters, as well as to define weight of each parameter. Implementation of this methodology is carried out in different types of slender masonry structures to develop vulnerability curves for these structure types.

## **INTRODUCTION**

Slender masonry structures are featured by their notable slenderness and also represent one of the main differences from most of the historic structures or ordinary buildings. These structures are able to resist gravitational actions, but as they were not explicitly designed to withstand seismic loading, show particularly weakness with regard to horizontal loadings induced by a strong motion. The limited ductility of the masonry combined the slenderness of theses tower, that behave as a vertical cantilever fixed at the base, generally provides a rather brittle structural behaviour. Therefore these constructions are particularly vulnerable with respect to seismic action.

The historical slender masonry construction, have demonstrated during the past to be susceptible to damage, and prone to partial or total collapse, under earthquake actions, sometimes due to inadequate retrofit or lack of it (Russo et al., 2010). In Italy, the sudden collapse of the Pavia civic

<sup>&</sup>lt;sup>1</sup> PhD Student, University of Aveiro, Aveiro, Portugal, manjip.shakya@ua.pt

<sup>&</sup>lt;sup>2</sup> Associate Professor with Habilitation, University of Aveiro, Aveiro, Portugal, hvarum@ua.pt

<sup>&</sup>lt;sup>3</sup> Assistant Professor, University of Aveiro, Aveiro, Portugal, romvic@ua.pt

<sup>&</sup>lt;sup>4</sup> Full Professor, University of Aveiro, Aveiro, Portugal, agc@ua.pt

tower, in 1989, motivated the development of many investigations concerning these types of structures (Gentile and Saisi, 2007). At present, a number of studies are available in the technical literature dealing with numerical and experimental analyses of slender masonry structures. However, there is no sufficient research work carried out on developing the relevant seismic vulnerability assessment tools for such structures. It is fact, seismic vulnerability assessment of these types of historical constructions is a difficult task due to the complexity of several factors involved, including the heterogeneity and uncertainty typical of the constituent materials, the intricate geometry configurations, often modified by previous structural or architectural interventions, and the cultural and artistic importance of this type of structure (Ceroni et al., 2010).

In this paper a new methodology for vulnerability assessment of slender masonry structures is proposed. This methodology evaluate of the seismic vulnerability index for the structure. The evaluated vulnerability index can then be used to estimate structural damage after correlation to a specified intensity of a seismic event. Here, qualitative as well as quantitative parameters are defined to evaluate the vulnerability index. Nonlinear parametric analyses are carried out to calibrate most of the quantitative parameters and weight of each parameter. Finally, this methodology is applied to different types of slender masonry structures, as developing vulnerability curves for these structures.

## PROPOSED METHODOLOGY FOR THE VULNERABILITY ASSESSMENT

There are a variety of methodologies proposed by different authors for the seismic vulnerability assessment of buildings. The vulnerability index formulation proposed in this paper is based essentially on the GNDT II level approach, presented in GNDT-SSN (1994), for the vulnerability assessment of residential masonry buildings. In this approach, the overall vulnerability is calculated as the weighted sum of 12 parameters (see Table.1) used in the formulation of the seismic vulnerability index. These parameters are related to 4 classes of increasing vulnerability: A, B, C and D. Depending on the parameter and the selected class, the method assigns a numerical value  $(K_i)$  ranging from 0 to 50, which is affected by a coefficient of importance (Weight ' $W_i$ '). A weight ( $W_i$ ) is assigned to each parameter, ranging from 0.25 for the less important parameters (in terms of structural vulnerability) up to 1.50 for the most important as shown in Table.1. It reflects the importance of each parameter in the evaluation of the seismic vulnerability of the slender structure. As a final stage the seismic vulnerability index  $(I_{\nu})$  of the structure will be obtained with the use of equation presented in Table.1. The vulnerability index obtained as the weighted sum of the 12 parameters initially ranges between 0 and 650, with the value then normalized to fall within the range  $0 \leq I_{\nu} \leq 100$ . The calculated vulnerability index can then be used to estimate structural damage after a specified intensity of a seismic event. The definition of each parameter class and weight is carried out taking into account the previous author works, opinion of experts, post-seismic damage observation and parametric analysis.

Parameter group	Parameter		Cla	ass (K <sub>i</sub>	)	Weight	Vulnerability	
T arameter group	1 arameter	Α	В	С	D	$(W_i)$	index	
	P1: Type of resisting system	0	5	20	50	1.00		
1. Structural	P2: Quality of the resisting system	0	5	20	50	1.50	12	
	P3: Conventional strength	0	5	20	50	1.50	$I_{v}^{*} = \sum K_{i}W_{i}$	
system	P4: Slenderness ratio	0	5	20	50	1.50	$\sum_{i=1}^{n}$	
	P5: Location and soil conditions		5	20	50	0.75		
	P6: Position and interaction		5	20	50	1.50		
2. Irregularities	P7: Irregularity in plan		5	20	50	1.00	$0 - l^* - (E0)$	
and interaction	P8: Irregularity in elevation		5	20	50	1.50	$0 \le I_v^* \le 650$	
	P9: Number, size and location of wall openings			20	50	1.00		
3. Horizontal								
structure and	structure and P10: Flooring and roofing system		5	20	50	0.50	Normalized	
roofing							index	
4. Conservation	A. Conservation P11: Fragilities and conservation state		5	20	50	1.00	$0 \le I_v \le 100$	
status and other elements P12: Non-structural elements		0	5	20	50	0.25	$0 \leq I_{v} \leq 100$	

Table 1. Vulnerability index  $(I_{\nu})$ 

# STRATEGY ADOPTED FOR NUMERICAL MODELING TO DEFINE AND CALIBRATE THE PARAMETERS

In order to define and calibrate the parameters used for assessing vulnerability, a number of parametric analyses were carried out. Different vulnerability scenarios were introduced in FE model and its analysis results were analyzed and compared to define different class and weight for each parameter. The majority of slender masonry structures has square or circular cross-section. The walls are thick, but normally thickness reduction in height. Openings are generally few and of small separate dimension. Hence, the reference structure is modeled as a vertical hollow cantilever of constant thick-walled with square cross-section, as shown in Fig.1. The geometric and mechanical properties adopted are an average value, based on an extensive literature review on such structures. Literatures reviewed were related to the experimental and analytical studies on historical slender masonry structures (among 59 literatures 32 were on towers, 16 on minarets, 7 on chimneys and 4 on Nepalese Pagoda temples). For the numerical analyses of the present study, the geometric and mechanical characteristics of the reference structure are tabulated in Table.2.

T 11 A L	*	1 1	1				1	•			1 1'
Table / N	laconry	machanical	and	anatrical	nro	nortiog	DADIL	90 1n	mut to	rHH	modaling
$\mathbf{I}$ and $\mathbf{L}$ . IV	TASULL V	пісспанісат	ани	geometrical	11111		useu	<b>as</b> 111	17111 11		

Parameter	Symbol	Value
Young's modulus (N/mm <sup>2</sup> )	Ε	3500
Specific weight (kN/m <sup>3</sup> )	γ	19
Poisson's ratio	ν	0.19
Compressive strength (N/mm <sup>2</sup> )	$f_c$	3.5
Compressive fracture energy (N/mm)	$G_c$	0.35
Tensile strength (N/mm <sup>2</sup> )	$f_t$	0.35
Tensile fracture energy (N/mm)	$G_{f}$	0.07
Shear retation factor	β	0.01
Total height (m)	H	40
External side (m <sup>2</sup> )	$B \times L$	6×6
Mean wall thickness ()	t	1

For modeling the reference slender masonry structure, eight node solid elements are used resourcing to Midas FEA v1.1 (2013). The model is based on the macro-modeling approach (see Fig.2), which is considered as appropriate for the seismic assessment of historical constructions at this scale of analysis (Calderini and Lagomarsino, 2006). Among many of the other, the important advantages of this approach is that it simplifies the generation of the structural model, and due to the reduction of the degrees of freedom, less calculation effort is required. Here, the constitutive material model named total strain crack model introduced by Vecchio and Collins (1986) is applied, which is integrated in the program Midas FEA. This constitutive material model is based on total strain where stress is described as a function of the strain and follows a smeared crack approach.

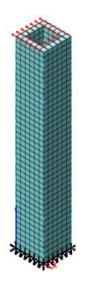


Figure 1. FE model of the reference structure

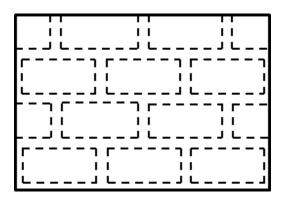


Figure 2. Macro-modeling for masonry walls

## **DEFINITION OF PARAMETER'S CLASS AND WEIGHT**

#### Definition of vulnerability assessment parameters

Overall vulnerability is calculated as the weighted sum of 12 parameters used in the formulation of the seismic vulnerability index. These 12 parameters are grouped into four groups. The first group includes parameters that characterize the building resisting system and the type and quality of masonry, from the material (size, shape and stone type), masonry fabric and arrangement and quality of connections amongst walls, shear strength capacity of the structure, slenderness ratio of the structures and the soil foundation conditions. The second group of parameters is mainly focused on the buildings relative location and on its interaction with other buildings, evaluates the irregularity in plan and elevation and identifies the wall openings number, size and location. The third group of parameter evaluates horizontal structural systems, namely the type of connection of the timber floors and the impulsive nature of the pitched roofing systems. Finally, the fourth group of parameters evaluates the structural fragilities and conservation level of the structures, as well as the negative influence of non-structural elements with poor connection conditions to the main structural system. Definition and calibration of each parameter is the explained as following sections:

## **P1** – Types of resisting system:

This parameter measures the resilient type of system, in terms of organization and quality of the walls design of the structure, the efficiency of connections between walls. It is essential to evaluate the distribution of walls, as well as connections between orthogonal walls and their connection to the horizontal, without regard to the constitution of the masonry (which will be evaluated in another parameter). The definition of classes of vulnerability for this parameter is presented in Table.3.

Class	Description
А	Built according to earthquake resistant construction codes. Strengthening or consolidation of the building masonry complying to rules earthquake resistance codes, thus ensuring the connection requirements and efficient connection between orthogonal walls.
В	The structure has good links and bonding between orthogonal walls. Existence of ring beams and/or metallic ties well distributed in sufficient number with good anchorage, thus ensuring the conditions for binding and effective connection between the vertical elements.
С	The structure does not have the effective connections defined and discussed in class B, however it presents good connection quality between orthogonal walls, guaranteed by the appropriate interlocking units in all the walls
D	The structure does not have effective connection among walls. Total absence of steel tie rods and/or ring beams.

## **P2** – Quality of resisting system:

The masonry found in traditional structures is very heterogeneous, with different materials components, and techniques for nesting dimensions, which give different levels of resistance and durability. This parameter assesses the quality of masonry, according to three features: (a) homogeneity of the material, shape, size and nature of the units (bricks, blocks or stones); (b) laying configuration and arrangement of the masonry; (c) type of crosslinking elements. The definition of classes of vulnerability is described in Table.4.

Table 4. Definition of the vulnerability classes for parameter P2

Class	Description
А	Brick masonry of good quality. Well cut stone masonry units (squared) with homogeneous and uniform in size throughout the length of the walls. Irregular stone masonry well mortared and locked/arranged, existence of cross–connection between the two sides of the wall.
В	Brick masonry of average quality and carved stone masonry units with homogeneity over the whole extension of the walls. Stone masonry with irregular cross–link elements between the two sides of the wall.
С	Brick masonry of low quality with irregularities in laying and bonding. Masonry stone units, not squared and heterogeneous dimensions. Irregular stone masonry without cross linking elements, and average mortar quality.
D	Brick masonry of poor quality with inlay of stone fragments. Stone masonry with very irregular units, nesting irregularly and without locking care (creating gaps). Irregular stone masonry without cross-connection and poor mortar quality.

#### **P3** – Conventional strength:

This parameter is a meaningful assessment of in-plane global shear resistance capacity of a structure. The calibration of this parameter is carried out by performing a pushover analysis. The reference FE model described in section 2 was defined with various shear strength values, adopted from literatures, for modelling 15 models. A Mohr-Coulomb failure criterion was used to derive the equivalent tensile and compressive strength to be introduced in the analytical models for the respective shear strength. According to this criterion, the tangent of the friction angle ( $\emptyset$ ) is the ratio between shear strength and tensile strength, where tensile strength is considered as 10% of compressive strength value. Friction angle is adopted as 35 degrees, which is the average value adopted from literatures on masonry structures of similar types. Results of pushover analysis were used to define the vulnerability class for this parameter as tabulated in Table.5.

Table 5. Definition of the vulnerability classes for parameter P3
---

Class	А	В	С	D
Limit	$\tau$ >200kPa	165kPa< <b>τ</b> ≤200kPa	135kPa< <b>τ</b> ≤165kPa	$\tau \le 135$ kPa

## P4 – Slenderness ratio:

Slenderness ratio is the ratio of the effective length of a structural member to its least radius of gyration and generally is considered as height to breadth ratio. This parameter evaluates the slenderness of the structures which is crucial to evaluate, since, it highly raises the stresses produced by static and dynamic loads at the base, particularly with regard to horizontal loading induced by a strong-motion. This parameter is vital to define the vulnerability of slender masonry structures. The definition of vulnerability classes for this parameter is carried out by calculating the maximum top displacement assuming the slender masonry structures as vertical cantilever hollow beam members. The maximum global drift is calculated for different types of slender masonry structures (i.e. 78 Pagoda temples, 72 towers, 32 minarets and 8 chimneys) using the information compiled from the literature review, whereas for Pagoda temples geometric characteristics were obtained from field survey. The calculated results are used to define the vulnerability classes for this parameter, in function of slenderness ratio ( $\lambda$ ), i.e. effective length of a structural member to its least radius of gyration, and height to breadth ratio  $\left(\frac{H}{R}\right)$  as tabulated in Table.6.

	Type of structure						
Class	Bell tower	Chimney	Minaret	Pagoda temple			
	λ <u>≤</u> 23	λ≤38	λ≤40	λ <u>≤</u> 11			
A	$\frac{H}{B} \leq 3.75$	$\frac{H}{B} \leq 6$	$\frac{H}{B} \leq 6$	$\frac{H}{B} \leq 2$			
В	$23 < \lambda \le 32$	40<λ≤66	40<λ≤64	$11 < \lambda \le 15$			
	$3.75 < \frac{H}{B} \le 5.25$	$6 < \frac{H}{B} \le 9.5$	$6 < \frac{H}{B} \le 9$	$2 < \frac{H}{B} \le 2.5$			
q	32< <i>λ</i> ≤44	66<λ≤84	$64 < \lambda \leq 90$	$15 < \lambda \le 18$			
С	$5.25 < \frac{H}{B} \le 7$	$9.5 < \frac{H}{B} \le 10.5$	$9 < \frac{H}{B} \le 12$	$2.5 < \frac{H}{B} \le 3$			
1	λ>44	λ>84	λ>90	λ>18			
D	$\frac{H}{B} > 7$	$\frac{H}{B} > 10.5$	$\frac{H}{B} > 12$	$\frac{H}{B} > 3$			

Table 6. Definition of the vulnerability classes for parameter P4

## P5 – Location and soil conditions:

This parameter assesses the importance of factors such as the topography, type and consistency of the ground foundation and slope. In this procedure, the difficulty of assessing the ground-structure interaction is simplified in each case. Existing geophysical reconnaissance elements (geology soil stratification) that allow more accurate identification of the types soil foundation, also allow their classification assists in defining the classes of vulnerability. The designation used for the type of soil is

proposed in Eurocode 8 (CEN, 2008). The class assignment is made in respect to the worst conditions identified. The type of analysis proposed here in this parameter, also evaluates the risk of slipping of slopes and soils foundation of structures, when subjected to seismic action. It is not considered in the classification in Table.7, the risk of other phenomena, such as liquefaction slip and drop. If the study area is recognized to have potential occurrence of liquefaction of saturated granular soils (soil type S1 and S2) when subjected to an earthquake, it should be considered a vulnerability class D.

Foundation land	Foundation land slope 'p' (%)	Class
	p≤10	Α
Soil type A with or without the foundation	10 <p≤30< td=""><td>В</td></p≤30<>	В
or soil type B and C with the foundation.	30 <p≤50< td=""><td>С</td></p≤50<>	С
	p>50	D
	p≤10	Α
Soil turne <b>P</b> and <b>C</b> without the foundation	10≤p≤20	В
Soil type B and C without the foundation	20 <p≤30< td=""><td>С</td></p≤30<>	С
	p>50	D
Soil type D and E with the foundation	p≤50	С
Soil type D and E with the foundation	p>50	D
Soil turne D and E without the foundation	p≤30	С
Soil type D and E without the foundation	p>30	D

Table 7. Definition of the vulnerability classes for parameter P5

## **P6** – **Position and interaction:**

The evaluation of the regularity of slender structures, built in with or adjacent to other buildings, should not be analysed individually. One must take into account the interaction with the adjacent structure to which it is connected, that limits its seismic response (i.e. to the requirements of deformation due to the interaction point). The response of the structure to horizontal action is influenced by its position, confinement and interaction, which can produce a high stress concentration at the point of connection with adjacent structures. Fig.3 shows the possible position of such types of structures and vulnerability classes according to location and interaction as described in Table.8.

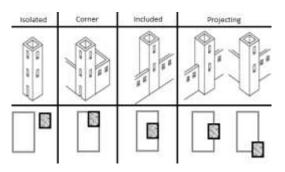


Figure 3. Position of the tower in the urban context

Table 8. Definition of the vulnerability classes for parameter P6

Class	Α	В	С	D
Position of the tower	Isolated	Corner	Included	Projecting

## **P7** – Irregularity in plan:

The shape and arrangement in plan of the resistant system of the structures are aspects that influence the structural performance and, consequently, the seismic vulnerability associated to the global torsional effect. The approach followed in this parameter was based on the assessment of the eccentricity between the centre of mass and the centre of rigidity. The eccentricity is considered dependent of size of openings and number of opening sides at base. The parametric pushover analyses were carried out in numerous analytical models, with different possible plan irregularity scenarios, in order to define the vulnerability classes for this parameter. Irregularities in plan scenarios were introduced in the models by varying the size of openings and number of opening sides at base. The size of openings considered were one-third, half and two-third of the wall breadth at base. Similarly, the number of opening sides was one, two and three with only one in each side and at base. Moreover, the openings were centrally located in each side. The size adopted for all openings was equal if the model has more than one opening. The results of parametric pushover analyses, in terms of maximum global drift capacity, from these models were compared with the results of the reference structure. Results of parametric pushover analysis are used to define the vulnerability classes for this parameter as tabulated in Table.9 and Table.10.

Table 9. Definition of the vulnerability classes for parameter P7, in function of size of openings and number of opening sides at base

Number of sides	Class	Size of openings at bottom 'OB'			
of opening	Class	Square section (% of breadth at base)	Circular section (% of diameter at base)		
	Α	OB≤46%	OB≤39%		
1	В	47% <ob≤53%< td=""><td>40%<ob<u>≤45%</ob<u></td></ob≤53%<>	40% <ob<u>≤45%</ob<u>		
1	С	53% <ob≤57%< td=""><td>45%<ob<u>≤49%</ob<u></td></ob≤57%<>	45% <ob<u>≤49%</ob<u>		
	D	OB>57%	OB>49%		
	Α	OB≤45%	OB≤38%		
2	В	45% <ob≤46%< td=""><td>38%<ob≤39%< td=""></ob≤39%<></td></ob≤46%<>	38% <ob≤39%< td=""></ob≤39%<>		
	D	OB>46%	OB>39%		
	А	OB≤22%	OB≤20%		
3	В	22% <ob≤29%< td=""><td>20%<ob≤25%< td=""></ob≤25%<></td></ob≤29%<>	20% <ob≤25%< td=""></ob≤25%<>		
	D	OB>29%	OB>25%		

Table 10. Definition of	f the vulnerability cl	asses for narameter <b>F</b>	97 in fi	unction of r	elative eccentricity
Table 10. Definition 0	i the vullerability ch	asses for parameter r	/, III IQ		cialive eccentricity

Class	А	В	С	D
Max. relative eccentricity $e_R$ (% of wall width)	e <sub>R</sub> %≤15%	$15\% < e_R \le 22\%$	$22\% < e_R \le 25\%$	e <sub>R</sub> >25%

#### **P8** – Irregularity in elevation:

This parameter assesses the vulnerability caused by irregularity in elevation. The irregularity in elevation was defined as a function of variation in stiffness along the height of structure. The approach followed in this parameter was based in terms of assessment of discontinuity in masonry wall regarding: (a) reduction in the wall thickness (see Fig.4a) and (b) presence of the non-supported wall portion (and Fig.4b). The parametric pushover analyses were carried out in numerous analytical models. Firstly, models were considered with an internally, both ways and externally reduction of the wall thickness (i.e. 25%, 50% and 75%) above different levels (i.e. one–fourth, half and two–third of total height). Secondly, models were considered with the non–supported wall portion, i.e. thickness of wall portion equal to 25%, 50%, 75% and 100% of its own thickness was not supported by the continuous base wall beneath. Furthermore, the non–supported wall portion was accumulated with reduction in the wall thickness (i.e. 25%, 50%, and 75% of base wall thickness) above different levels (i.e. one–fourth, half and two–third of the total height). The results of these parametric pushover analyses, in terms of maximum global drift capacity, obtained with these models were compared with the results for the reference structure. Results of parametric pushover analysis are used to define the vulnerability classes for this parameter (see Table.11 and Table.12).

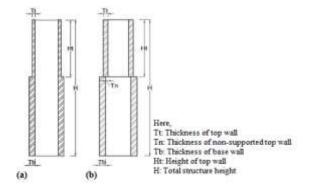


Figure 4. Vertical irregularity scenarios: (a) Reduction in wall thickness; (b) Presence of non-supported wall

Class			as up to 3/4th of that thickness tion	Constant thickness up to 1/2 of height and above that thickness reduction				Constant thickness up to 1/4th of height a above that thickness reduction			
С	Interna lly	Both way	Externally Internally		Externa lly	Internally	Both way	Externally			
Α	Tt≥0.	46Tb	Tt≥0.63Tb	Tt≥0.48Tb	Tt≥0.67Tb		Tt≥0.55Tb	Tt≥0.61Tb	Tt≥0.67Tb		
В		0.46Tb>Tt≥ 0.63Tb>Tt≥ 0.48Tb>Tt≥ 0.67Tb>Tt≥   0.33Tb 0.45Tb 0.36Tb 0.52Tb			0.55Tb>Tt≥ 0.30Tb	0.61Tb>Tt≥ 0.37Tb	0.67Tb>Tt≥ 0.43Tb				
С	Tt<0.	33Tb	0.45Tb <tt≥ 0.30Tb</tt≥ 	Tt<0.36Tb	0.52Tb>Tt≥ 0.37Tb		_		Tt<0.30Tb	Tt<0.37Tb	Tt<0.43Tb
D	-	-	Tt<0.30Tb	-	Tt<0	.37Tb		-			

Table 11. Definition of the vulnerability classes for parameter P8 due to reduction in wall thickness

Table 12. Definition of t	the vulnerability classes	for parameter P8 in	presence of non-supported wall
Tuble 12. Definition of	the valuerability elabors	101 purumeter 1 0 m	presence of non supported wan

Class	Discontinuous wall above 3/4th of		Discontinuous	wall above 1/2 of	Discontinuous wall above 1/4th of		
Class	height		he	eight	height		
Α	Tn≤0.72Tt and 0.75Tb <tt≤tb< th=""><th>Tn≤0.58Tt and</th><th>d 0.75Tb<tt≤tb< th=""><th colspan="2">Tn≤0.63Tt and 0.75Tb<tt≤tb< th=""></tt≤tb<></th></tt≤tb<></th></tt≤tb<>		Tn≤0.58Tt and	d 0.75Tb <tt≤tb< th=""><th colspan="2">Tn≤0.63Tt and 0.75Tb<tt≤tb< th=""></tt≤tb<></th></tt≤tb<>	Tn≤0.63Tt and 0.75Tb <tt≤tb< th=""></tt≤tb<>		
	0.72Tt <tn≤tt< th=""><th>Tn≤0.87Tt</th><th>0.58Tt<tn≤tt< th=""><th>Tn≤0.70Tt</th><th>0.63<tn≤tt< th=""><th>Tn≤0.82Tt</th></tn≤tt<></th></tn≤tt<></th></tn≤tt<>	Tn≤0.87Tt	0.58Tt <tn≤tt< th=""><th>Tn≤0.70Tt</th><th>0.63<tn≤tt< th=""><th>Tn≤0.82Tt</th></tn≤tt<></th></tn≤tt<>	Tn≤0.70Tt	0.63 <tn≤tt< th=""><th>Tn≤0.82Tt</th></tn≤tt<>	Tn≤0.82Tt	
В	and and		and and		and	and	
	0.75Tb <tt≤tb< td=""><td>0.5Tb<tt≤0.75tb< td=""><td>0.75Tb<tt≤tb< td=""><td>0.5Tb<tt≤0.75tb< td=""><td>0.75Tb<tt≤tb< td=""><td>0.5Tb≤Tt&lt;0.75Tb</td></tt≤tb<></td></tt≤0.75tb<></td></tt≤tb<></td></tt≤0.75tb<></td></tt≤tb<>	0.5Tb <tt≤0.75tb< td=""><td>0.75Tb<tt≤tb< td=""><td>0.5Tb<tt≤0.75tb< td=""><td>0.75Tb<tt≤tb< td=""><td>0.5Tb≤Tt&lt;0.75Tb</td></tt≤tb<></td></tt≤0.75tb<></td></tt≤tb<></td></tt≤0.75tb<>	0.75Tb <tt≤tb< td=""><td>0.5Tb<tt≤0.75tb< td=""><td>0.75Tb<tt≤tb< td=""><td>0.5Tb≤Tt&lt;0.75Tb</td></tt≤tb<></td></tt≤0.75tb<></td></tt≤tb<>	0.5Tb <tt≤0.75tb< td=""><td>0.75Tb<tt≤tb< td=""><td>0.5Tb≤Tt&lt;0.75Tb</td></tt≤tb<></td></tt≤0.75tb<>	0.75Tb <tt≤tb< td=""><td>0.5Tb≤Tt&lt;0.75Tb</td></tt≤tb<>	0.5Tb≤Tt<0.75Tb	
С	0.87Tt <tn≤tt ar<="" th=""><th>nd 0.5Tb<tt≤0.75tb< th=""><th>0.70Tt<tn≤tt an<="" th=""><th>d 0.5Tb<tt≤0.75tb< th=""><th colspan="3">0.82<tn≤tt 0.5tb<tt≤0.75tb<="" and="" th=""></tn≤tt></th></tt≤0.75tb<></th></tn≤tt></th></tt≤0.75tb<></th></tn≤tt>	nd 0.5Tb <tt≤0.75tb< th=""><th>0.70Tt<tn≤tt an<="" th=""><th>d 0.5Tb<tt≤0.75tb< th=""><th colspan="3">0.82<tn≤tt 0.5tb<tt≤0.75tb<="" and="" th=""></tn≤tt></th></tt≤0.75tb<></th></tn≤tt></th></tt≤0.75tb<>	0.70Tt <tn≤tt an<="" th=""><th>d 0.5Tb<tt≤0.75tb< th=""><th colspan="3">0.82<tn≤tt 0.5tb<tt≤0.75tb<="" and="" th=""></tn≤tt></th></tt≤0.75tb<></th></tn≤tt>	d 0.5Tb <tt≤0.75tb< th=""><th colspan="3">0.82<tn≤tt 0.5tb<tt≤0.75tb<="" and="" th=""></tn≤tt></th></tt≤0.75tb<>	0.82 <tn≤tt 0.5tb<tt≤0.75tb<="" and="" th=""></tn≤tt>		
C	$Tn \le Tt \text{ and } 0.25 Tb \le 0.5 Tb$						
D	Tn≤Tt and Tt≤0.25Tb						

## P9 – Wall openings number, size and location:

Vulnerability of slender masonry structures is influenced by its openings. The area of structural openings in the walls and location highly influence breaking mechanisms in the plane or out of the plane of the wall. The parametric pushover analyses were carried out in numerous analytical models, to define the vulnerability classes for this parameter. The models were considered with openings of different sizes (i.e. one–third, half and two–thirds of wall breadth at base) and number (i.e. one, two and three), which were located at different levels (i.e. base, middle and top). Here, openings in the opposite façades, are considered identical making the model symmetric in X and Y direction. The results of the parametric pushover analyses, in terms of maximum global drift capacity, obtained with these models were compared with the results for the reference structure. Results of pushover analysis are used to define the vulnerability classes for this parameter as tabulated in Table.13. Here OB refers to openings above base.

	Opening at	one level	Openings at	t two level	Openings at three	e or more level
Class	Square section (% of breadth at base)	Circular section (% of diameter at base)	Square section (% of breadth of structure)	Circular section (% of diameter at base)	Square section (% of breadth of structure)	Circular section (% of diameter at base)
A	OB≤18%	OB≤16%	OB 17% and   0%<0A	OB≤15% and 0%≤OA≤28% OB≤8% and 28%≤OA≤43%	OB≤16% and 0%≤OA≤33% OB≤8% and 33%≤OA≤50%	OB≤14% and   0%≤OA≤28%   OB≤7% and   28%≤OA≤43%
В	18% <ob≤ 36%</ob≤ 	16% <ob≤ 31%</ob≤ 	17%≤OB≤34% and 0%≤OA≤33% 9%≤OB≤18% and 33%≤OA≤50%	15%≤OB≤29% and 0%≤OA≤28% 8%≤OB≤16% and 28%≤OA≤43%	16%≤OB≤32% and 0%≤OA≤33% 8%≤OB≤17% and 33%≤OA≤50%	14%≤OB≤27% and 0%≤OA≤28% 7%≤OB≤15% and 28%≤OA≤43%
C	36% <ob≤ 50%</ob≤ 	31% <ob≤ 43%</ob≤ 	34%≤OB≤48% and 0%≤ OA≤33% 18%≤OA≤27% and 33%≤OA≤50%	29%≤OB≤41% and 0%≤OA≤28% 16%≤OB≤23% and 28%≤OA≤43%	32%≤OB≤46% and 0%≤OA≤33% 17%≤OB≤26% and 33%≤OA≤50%	27%≤OB≤39% and 28%≤OA≤43% 15%≤OB≤22% and 28%≤OA≤43%
D	OB>50%	OB>43%	OB>48% and 0%≤OA≤33% OB>27% and 33%≤OA≤50%	OB>41% and 0%≤OA≤28% OB>23% and 28≤OA≤43%	OB>46% and 0%≤OA≤33% OB>26% and 33%≤OA≤50%	OB>39% and 0%≤OA≤28% OB>22% and 28%≤OA≤43%

Tables 13. Definition of the vulnerability classes for parameter P9

## P10 – Flooring and roofing system:

The quality and type of structural system of the floors and roof has a remarkable influence on the overall structural behaviour. It is important that the floors are well connected to the walls, so that, they transmit vertical and horizontal loads. The deficiency of these connections creates instability in structure, the floor losing its ability to lock the walls (increasing its slenderness, and hence reducing its carrying capacity). It is proposed in this parameter the definition of the classes according to the state of conservation of floors, as this affects their connection conditions to the walls, as well as the stiffness of the flooring itself. This criterion also considers the configuration of roofing. The possibility of coverage triggering lateral impulses to walls is undoubtedly an aspect in conditioning performance of the structures. The impulsive character of the cover is especially important for action because it may increase the seismic pulses on the walls, eventually causing collapse out of their plan. Definitions of classes of vulnerability for the parameter P10 are presented in Table14.

Class	Structural type and support connection condition of flooring and roofing	If poor conservation state of flooring and roofing system	If roof structure with thrusting nature
Α	Rigid or semi-rigid and well-connected		
В	Deformable and well connected	Downorodo by 1 alass	Downowsda by 1 alaga
С	Rigid or semi-rigid and improperly connected	Downgrade by 1 class	Downgrade by 1 class
D	Deformable and poorly connected		

#### **P11** – Fragilities and conservation state:

This parameter intends to evaluate the weaknesses observed in the structure (walls, floors and roofs), that may aggravate the damage eventually resulting from the occurrence of an earthquake. The classes of vulnerability are defined by the severity of structural anomalies and its origin (an action can be caused by previous seismic event) that can trigger certain mechanisms more adversely. Table15 identify themselves, class by class, problems and ways to increase substantially the risk of constructions suffer damage, showing in particular the degree of cracking and degradation of materials: cracks along the corners, detachment of orthogonal walls, bulging and deformation, signs of crushing, etc.

Table 15. Definition of the vulnerability classes for parameter P11

Class	Description
Α	Masonry walls in good condition with no visible damage.
В	Walls with small cracks (less than 0.5mm), not widespread. Signs of moisture which deteriorates the characteristics of the masonry and lead to degradation or decay of wood.
С	Walls cracked opening of about 2 to 3mm. Structures with a state of poor conservation of masonry walls. Serious problems of deformability in the structural members.
D	Walls with deterioration and even if not widespread severe cracking. Walls with physical features and materials that show very poor or severe decrease of resistance. Cracking in locations such as near the corners (signs of disconnection between orthogonal walls). Damage introduced by impulses transmitted by the roof, bulging load–bearing walls, cracking due to settlement of foundations. Slip wooden framework with respect to the walls of the framework. Decomposition and degradation of wood along the walls. Signs of rotation and walls out of plumb.

#### P12 – Non-structural elements:

This parameter measures the effect of elements that are not part of the structural system, such as bells, pinnacles, cornices, parapets, balconies or other projecting members that are attached to the structure. During the seismic event, there connections with the structure weaken and increase the level of damage in structural elements. Therefore, the classifications of this parameter are classified only as classes A, B and C, as presented in Table.16.

Table 16. Definition of the vulnerability classes for parameter P12

Class	Description
Α	No hanging or emerging elements such as bells, pinnacle, cornices, parapets, balconies, turrets etc.
В	Structure with hanging or emerging elements well connected to the walls, turrets with reduced size and weight.
С	Structure with hanging or emerging elements poorly connected to the walls and with considerable weight.

#### **Definition of parameters weight**

Parameters weight ( $W_i$ ) is the coefficient multiplying the vulnerability class numeric value ( $K_i$ ), depending upon its importance, ranging within 0.25 to 1.50. It reflects the importance of each parameter in the seismic vulnerability of the structure. This coefficient is assigned taking into account values proposed in the literature for similar methodology, the opinion of experts and parametric analyses results. To collect information of weight from expert, a questionnaire survey was carried out. The survey response relatively to the weight for each parameter obtained from 18 experts (all over the world and precisely working in similar types of structures), then were tabulated and analysed. Similarly, to define the weight using parametric analyses, numerous models were constructed and pushover analyses were carried out (see Table.17).

		Weight $(W_i)$							
	Sin	nilar method							
Parameter	GNDT- SSN (1994)	Vicente et al. (2011)	VULNeT (Sepe et al., 2008)	Expert opinion	Corresponds to % change in max. top displacement	Corresponds to % change in max. base shear force	Adopted value		
P1: Type of resisting system	1.00	0.75	1.00	1.41	0.75	0.75	1.00		
P2: Quality of the resisting system	0.25	1.00	0.50	1.49	1.50	0.75	1.50		
P3: Conventional strength	1.50	1.50	0.80	1.14	1.00	1.50	1.50		
P4: Slenderness ratio	-	-	-	1.50	1.50	1.50	1.50		
P5: Location and soil conditions	0.75	0.75	0.75	1.00	0.75	0.75	0.75		
P6: Position and interaction	-	1.50	-	0.64	0.75	1.50	1.50		
P7: Irregularity in plan	0.50	0.75	-	1.05	1.00	1.00	1.00		
P8: Irregularity in elevation	0.50- 1.00	0.75	1.50	1.27	1.00	1.50	1.50		
P9: Wall openings number, size and location	-	0.50	-	0.98	1.00	1.00	1.00		
P10: Flooring and roofing system	0.50- 1.00	1.00	0.80	0.86	-	-	0.50		
P11: Fragilities and conservation state	1.00	1.00	1.00	0.90	-	-	1.00		
P12: Non-structural elements	0.25	0.50	0.40	0.25	-	-	0.25		

Table 17. Comparison of weight  $(W_i)$  for vulnerability assessment parameters

## IMPLEMENTATION OF PROPOSED VULNERABILITY ASSESSMENT METHODOLOGY ON SLENDER MASONRY STRUCTURES

The method proposed here is based on the original GNDT II level approach (GNDT-SSN, 1994) although with some significant modifications. Since this study adopted the analytical vulnerability curves of the Macroseismic Method (Giovinazzi and Lagomarsino, 2004), it is essential to establish the correspondence between the Macroseismic Method and the GNDT II level approach. For the operational implementation of the methodology, an analytical expression proposed by Lagomarsino and Podestà (2004) for churches and adopted by Curti (2007) and Balbi et al. (2005) for tower is adopted. This expression correlates seismic intensity with the mean damage grade ( $0 \le \mu_D \le 5$ ) of the damage distribution (discrete beta distribution) in terms of the vulnerability value, as shown in Eq.(1).

$$\mu_D = 2.5 \cdot \left[ 1 + tanh\left(\frac{I + 3.4375 \cdot V - 8.9125}{Q}\right) \right] \tag{1}$$

where, I is the seismic hazard described in terms of macroseismic intensity, V the vulnerability index used in the Macroseismic Method and Q a ductility factor.

The vulnerability index, V, determines the position of the curve, while the ductility factor, Q, determines the slope of the vulnerability function. In this study a ductility factor of 2 is adopted, a value suggested by Curti (2007) and Balbi et al. (2005) for towers. Fig.5 shows the comparison of vulnerability curves plotted for possible maximum, mean and minimum values of vulnerability index

using the proposed methodology for slender masonry structures with the vulnerability index values presented by Giovinazzi and Lagomarsino (2004) for EMS-98 buildings topology. Moreover, the mean value adopted here closely resemble with the value presented by Lagomarsino et al. (2004) for towers. Nevertheless, the mean value is adopted here is slight lower than the value presented by Curti (2007). By comparing the two types of vulnerability curve with respect to a central mean damage value ( $\mu_D = 2.5$ ), the following analytical correlation was derived between the vulnerability indexes of the two methods:

$$V = 0.46 + 0.0056I_{\nu} \tag{2}$$

Via Eq.(2), the vulnerability index,  $I_{\nu}$ , can be transformed into the vulnerability index, V (used in the Macroseismic Method), enabling the calculation of the mean damage grade for different macroseismic intensities, using Eq.(1). Fig.6 shows the vulnerability curves for the mean value of the vulnerability index as well as the upper and lower bound ranges for different types of slender masonry structures.

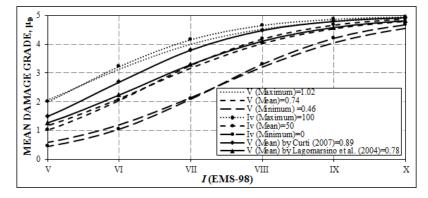


Figure 5. Correlation amongst vulnerability curves for maximum, mean and minimum value of  $I_{n}$ 

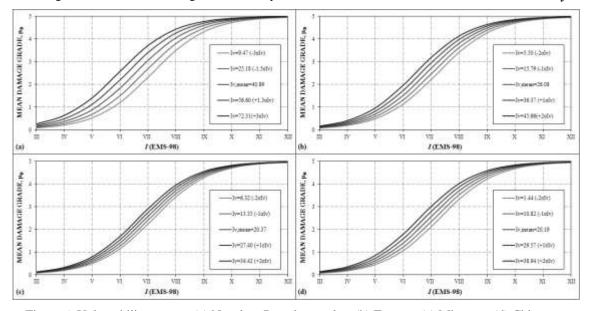


Figure 6. Vulnerability curves: (a) Nepalese Pagoda temples; (b) Towers; (c) Minarets; (d) Chimneys

## **CONCLUSIONS**

This paper presented and discussed the development of a new vulnerability assessment for slender masonry structures. The vulnerability assessment method developed here has been proven to be extremely useful and reliable for the analysis of slender masonry construction characteristics and as a consequence so are the results obtained from its use. Integration of this vulnerability assessment

technique into a Macroseismic method has enabled its application for the development of damage and loss scenarios for risk mitigation and management. The proposed vulnerability assessment method can easily be adapted for specific building features and adopted for assessment of any type of slender masonry structures. Methods of vulnerability assessment based on statistical approaches and damage observation are far more suitable for large scale analysis, essentially for two reasons: they require less information and fewer resources while the currently available simplified mechanical models still require experimental testing validation. However, the uncertainties associated with the empirical vulnerability curves and the quality of vulnerability classification data are still issues that must be studied further with respect to post-seismic data collection.

## ACKNOWLEDGEMENT

The first author would like to express his gratitude to the scholarship under the Erasmus Mundus Action 2 Partnership, EU-NICE project, supporting the research to develop within the PhD at University of Aveiro, Portugal.

## REFERENCES

- Balbi A, Lagomarsino, Parodi S (2005) "Seismic vulnerability and importance of historic centers and architectural emergencies: An application to the province of Imperia", *Proceedings of the safety of the territory by events of natural disasters: Experiences and new prospective*, Lerici, Italy, 12 September (In Italian)
- Calderini C and Lagomarsino S (2006) "A micromechanical inelastic model for historical masonry", Earthquake Engineering, 10(4):453-479
- CEN (2008) *Eurocode 8*: design of structures for earthquake resistance–Part 1: general rules, seismic actions and rules for buildings, Standardization, European Committee for Brussels
- Ceroni F, Pecce M, Manfredi G (2010) "Seismic Assessment of the Bell Tower of Santa Maria Del Carmine: Problems and Solutions", *Earthquake Engineering*, 14:30-56
- Curti E (2007) <u>Seismic vulnerability of Belfries: mechanical models and macroseismic</u>, Ph.D. Thesis, University of Genoa, Italy (In Italian)
- Gentile C and Saisi A (2007) "Ambient vibration testing of Historic Masonry towers for structural identification and damage assessment", *Construction and Building Materials*, 21:1311-1321
- Giovinazzi S and Lagomarsino S (2004) "A macroseismic model for the vulnerability assessment of buildings", *Proceedings of 13<sup>th</sup> world conference on earthquake engineering*, Vancouver, Canada, 1- 6 August, Paper no. 896
- GNDT-SSN (1994) <u>Inspection and recording sheet for vulnerability, exposure and damage assessment of first</u> and second level (masonry and concrete), National Group for the Defense against Earthquakes: Rome, Italy (In Italian)
- Grünthal G (1998) <u>European Macroseismic Scale EMS-98</u>, Notes of the European Center of Geodynamics and Seismology, Volume 15, Luxembourg
- Lagomarsino S and Podestà S (2004) "Seismic Vulnerability of Ancient Churches: II. Statistical Analysis of Surveyed Data and Methods for Risk Analysis", *Earthquake Spectra*, 20(2):395-412
- Lagomarsino S, Podestà S, Resemini S (2004) "Observation and mechanical models for the vulnerability assessment of monument buildings", *Proceedings of 13<sup>th</sup> world conference on earthquake engineering*, Vancouver, Canada, 1-6 August, Paper no. 942
- Midas FEA v1.1 (2013) <u>Nonlinear and detail FE Analysis System for civil structures</u>, Midas Information Technology Corporation
- Russo G, Bergamo O, Damiani L, Lugato D (2010) "Experimental analysis of the ``Saint Andrea" Masonry Bell Tower in Venice. A new method for the determination of Tower Global Young's Modulus E", *Engineering Structure*, 32:353-360
- Sepe V, Speranza E, Viskovic A (2008) "A method for large-scale vulnerability assessment of historic towers", Structural Control and Health Monitoring, 15:389-415
- Vecchio FJ and Collins MP (1986) "The Modified Compression Field Theory for Reinforced Concrete Elements Subjected to Shear", *American Concrete Institute Journal*, 83(22):219-231
- Vicente R, Parodi S, Lagomarsino S, Varum H, Mendes S (2011) "Seismic vulnerability and risk assessment: case study of the historic city centre of Coimbra, Portugal", *Bulletin of Earthquake Engineering*, 9:1067-1096, doi:10.1007/s10518-010-9233-3